

# WORKSHOP ON CAPITAL MARKET LAWS & REGULATION

CONDUCTED BY

Lack of awareness related to Capital Market Laws & Regulations is common generally amongst small Investors. Besides, there is also lack of awareness amongst private companies with regard to Laws & Regulation on conversion and listing in the capital market as public limited company. Furthermore, there prevails general scepticism and scarcity amongst Sponsor shareholders & promoters for taking initiative in promoting a company for public subscription due to unawareness of their limited liability rights. Our workshop is designed to raise awareness on the laws & regulation and highlight common disputes in capital market and provide possible solution.

## The following topics will be discussed:

- SEC Law & regulation on listing.
- DSE & CSE Regulations.
- Rights and Liabilities of Sponsor Shareholders.
- Restriction on Insider Trading and protection of small investor under law.
- Private vs Public Company.
- Exit option for Company & Sponsors.

## Who can participate:

- Merchant Banker; Portfolio Manager; Investment Company; Stockbroker; Issuer; Trustees, etc.
- Sponsor shareholder; Director; Managing Director; Company Secretary; Small Investor.
- SEC; Government officials & Professionals.
- Anyone interested to join.



**Mohammed Forrukh Rahman**

*Head of Chambers*

Barrister-at-Law (Lincoln's Inn)

LLB (Lond), PGCertLaw (Corp & Comm) (Lond)

Fellow, Hong Kong Institute of Arbitrators (FHKI Arb)

ACI Arb, ASI Arb, CEDR Accredited Mediator

Advocate, Supreme Court of Bangladesh.



*"Their response times are excellent and they make you feel generally very well informed."*

— Chambers & Partners, 2018



*"The 'very responsible, responsive and knowledgeable' Mohammed Forrukh Rahman heads the firm"*

— Legal 500, 2018

## Arranged by:



©Rahman's Chambers

**Other Offices:**  
Banani (Main) | Kakrail |  
Chittagong | SCBA (Dhaka) |

## Booking, Time & Venue

### Conference Room, Gulshan Chambers

Crystal Palace,  
House # SE (D) 22, Level 3,  
Road - 140, Gulshan South  
Avenue, Gulshan-1,  
Dhaka - 1212, Bangladesh.

Ph. : 09611888666

Email: gulshan@rahmansc.com

Mobile: (+88) (0)1774181610

On - 13<sup>th</sup> March, 2018

From 3:30 pm to 5:00 pm

[www.rahmansc.com](http://www.rahmansc.com)

## Booking Instructions

- Advance Booking is recommended.
- Booking are made on First come first serve basis.
- Priority is given to Past/Present Client in Booking
- Materials will be provided at the time of session.
- There is no fee for attending in the above event.